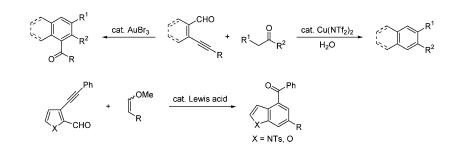


Lewis Acid-Catalyzed [4 + 2] Benzannulation between Enynal Units and Enols or Enol Ethers: Novel Synthetic Tools for Polysubstituted Aromatic Compounds Including Indole and Benzofuran Derivatives

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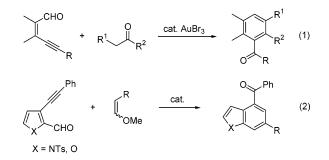
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The reaction of enynals 1, including *o*-(alkynyl)benzaldehydes, and carbonyl compounds 2, such as aldehydes and ketones, in the presence of a catalytic amount of AuBr₃ in 1,4-dioxane at 100 °C gave the functionalized aromatic compounds 3 in high yields. Similarly, the AuBr₃-catalyzed reactions of 1 with acetal compounds 5 afforded the corresponding aromatic compounds 3 in good yields. On the other hand, when the reaction was carried out in the presence of a catalytic amount of Cu(NTf₂)₂ and 1 equiv of H₂O in (CH₂Cl)₂ at 100 °C, the decarbonylated naphthalene products 4 were obtained selectively over 3. Benzofused heteroaromatic compounds, such as indole derivatives 13 and benzofuran derivatives 15, were also synthesized by using the present benzannulation methodology.

Introduction

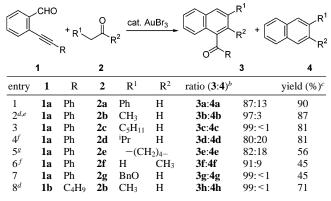
Stereodefined synthesis of polysubstituted aromatic compounds is highly important in modern organic chemistry. Since Reppe's pioneering work on metal-catalyzed benzannulations using [2 + 2 + 2] cyclotrimerization of alkynes,¹ a number of synthetic methods using transition-metal catalysts have been reported.² However, efficient synthetic methods are still needed from the viewpoint of atom economy or environmental concern. Recently, we have communicated the novel gold-catalyzed [4 + 2] benzannulation between enynal units, including *o*-(alkynyl)benzaldehydes, and carbonyl compounds, which produces aromatic ketones in good to high yields as shown in eq 1.^{3,4} Now, we report the detailed study on this reaction together with a novel synthetic method of benzofused heteroaromatic compounds, such as indole and benzofuran derivatives (eq 2).



Results and Discussion

(A) Benzannulation Using Enynal Units and Carbonyl Compounds. As we previously reported, the [4 + 2] cycloaddition reaction of *o*-(alkynyl)benzaldehydes 1 with various kinds of carbonyl compounds 2 proceeded smoothly in the presence of gold catalyst, leading to naphthyl ketone derivatives 3 together

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^{*a*} The reaction was performed using **1** (1 equiv) and **2** (1.2 equiv) in the presence of AuBr₃ (10 mol %) in 1,4-dioxane at 100 °C within 3 h unless otherwise noted. ^{*b*} Determined by ¹H NMR. ^{*c*} Combined isolated yield.^{*d*} Five equivalents of **2b** were used. ^{*e*} The reaction was carried out at 80 °C. ^{*f*} The reaction was carried out in the presence of 30 mol % of AuBr₃. ^{*g*} The reaction was carried out in the presence of 20 mol % of AuBr₃.

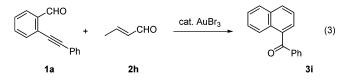
with small amounts of decarbonylated products 4 in good to high yields, and the results are summarized in Table 1.4,5 The reaction proceeded well even with the sterically bulky aldehyde, such as 3-methylbutanal 2d, although an increased amount of catalyst was needed (entry 4). In addition to aldehydes, ketones were also usable as a 2π -system in the present reaction. When 1a was treated with cyclohexanone 2e, the corresponding sixmembered annulated naphthalene 3e was obtained in a moderate yield (entry 5). Analogously, the reaction of 1a with acetone 2f proceeded to give 3f, which is a regioisomer of 3b (entry 6). Not only simple alkyl and aryl substituents can be introduced to the naphthalene skeleton; an alkoxy group can also be introduced to the naphthalene skeleton. The reaction of 1a with benzyloxyacetaldehyde 2g gave 3g as a sole product (entry 7). The benzannulation of 1b, having butyl group at the terminal position of alkyne, with 2b gave 3h in 71% yield (entry 8). In every case, we did not detect the regioisomers of 3; R^1 was always at the C-3 position and R² was always at the C-2 position.

Interestingly, when the reaction of **1a** with crotonaldehyde **2h** was examined, naphthyl phenyl ketone **3i** was obtained in

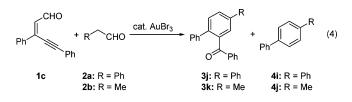
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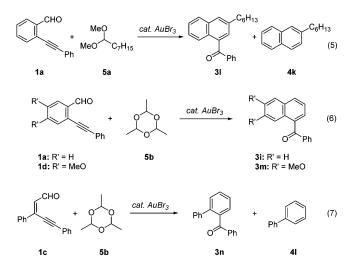
13% yield (eq 3). Probably, acetaldehyde would be produced in situ by the retro-aldol condensation between 2h and a small amount of water, which might exist in the media. Then, the benzannulation between the resulting acetaldehyde and 1a would take place to afford 3i. This result clearly shows that 2h can be used as a masked acetaldehyde in the [4 + 2] benzannulation, although the chemical yield of 3i was low.



Not only the benzaldehyde derivatives underwent benzannulation; conjugated enyne aldehydes also underwent benzannulation, leading to polysubstituted benzene derivatives regioselectively. For example, the reaction of **1c** with phenylacetaldehyde **2a** gave terphenyl derivative **3j** and **4i** in 42 and 6%, respectively. When the reaction was carried out using propanal **2b**, **3k** was obtained in 70% together with **4j** in 12% yield (eq 4).



The reaction of 1 with acetal compounds 5, instead of carbonyl compounds 2, also proceeded to give a variety of aromatic compounds. Treatment of 1a with 1,1-dimethoxyoctane 5a in the presence of gold catalyst gave the corresponding naphthalene derivative 3l in 27% yield. The chemical yield was improved by addition of water (3 equiv), and 3l was obtained in 68% yield together with 4k in 5% yield (eq 5). We also examined the reaction of 1a and 1d with paraldehyde 5b. Even without external addition of water, the corresponding products 3i and 3m were obtained in 61 and 52% yields, respectively (eq 6). Furthermore, the reaction between 1c and 5b proceeded smoothly to give benzophenone derivative 3n in 51% yield together with a small amount of 4l (8%) (eq 7). These results showed that 5b can work as an acetaldehyde source in the [4 + 2] benzannulation as well as 2h.



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TABLE 2. Copper-Catalyzed Benzannulation between 1a and 2b^a

entry	Lewis acid	additives	ratio (3b:4b) ^b	yield (%) ^c
1	Cu(OTf)2	none	60:40	80
2	$Cu(OTf)_2$	CF ₂ HCO ₂ H	48:52	79
3	$Cu(OTf)_2$	CF ₃ CO ₂ H	38:62	66
4	$Cu(NTf_2)_2$	none	13:87	31
5	$Cu(NTf_2)_2$	H_2O	10:90	48

^{*a*} The reaction was performed using **1a** (1 equiv) and **2b** (5 equiv) in the presence of Lewis acid (10 mol %) and additives (1 equiv) in (CH₂Cl)₂ at 100 °C within 2 h. ^{*b*} Determined by ¹H NMR. ^{*c*} Combined NMR yield using *p*-xylene as an internal standard.

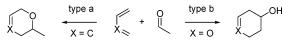
We have reported that the AuX₃-catalyzed benzannulation between envnal unit 1 and alkynes gives aromatic compounds 3 in high yields.⁶ On the other hand, selective formation of the decarbonylated products 4 over 3 was observed by changing the catalyst from AuX₃ to a combination of a catalytic amount of Cu(OTf)₂ and a stoichiometric amount of Brønsted acid.^{6b} Therefore, we were interested whether the similar decarbonylation reaction would be possible in the present reaction. We examined the reaction of 1a with 2b using the copper catalyst in the presence of Brønsted acid under the several conditions, and results are summarized in Table 2. When the reaction was conducted in the presence of a catalytic amount of Cu(OTf)₂ without Brønsted acid, 3b was obtained predominantly over 4b in 80% yield as a combined yield of 3b and 4b (entry 1). Optimization experiments revealed that the addition of Brønsted acids, such as CF₂HCO₂H and CF₃CO₂H, increased the ratio of 4b against 3b (entries 2 and 3). Finally, we found that the best selectivity was obtained by using the Cu(NTf₂)₂-H₂O system and 4b was produced in 43% yield together with a small amount of **3b** (5%) (entry 5).

A plausible mechanism for the present benzannulation is shown in Scheme $1.^{3,4,7,8}$ The coordination of the triple bond of **1** to Lewis acid (M: AuX₃ or Cu(NTf₂)₂) enhances the electrophilicity of the alkyne, and the subsequent nucleophilic attack of the carbonyl oxygen to the electron-deficient alkyne would form the ate complex **6**. The reverse electron demandtype Diels–Alder reaction of **6** with the enol **7**, derived from 2, followed by dehydration would generate the intermediate 9 through 8. When the reaction is catalyzed by AuX_3 , the subsequent bond rearrangement would afford the naphthyl ketone derivatives 3 and regenerate AuX₃. On the other hand, in the Cu(NTf₂)₂-HA system, protonolysis of the C-Cu bond of 9 by HA, followed by the attack of A^- to the carbon of RCO, would produce 10, which would undergo the retro Diels-Alder reaction to lead the formation of 4. It is worth mentioning that when the AuX₃-catalyzed reaction of 1a with 2b was carried out in the presence of MS 3A under conditions similar to those mentioned in Table 1, the chemical yield of **3b** was dramatically decreased and only trace amounts of 3b were obtained. Trace amounts of water, which might exist in the reaction medium, would play an important role for the keto-enol tautomerization between 2b and 7.9,10 The reaction would probably not proceed well without water due to the lack of the generation of enol form 7.

10

In the normal electron demand-type Diels–Alder reaction between diene systems and carbonyl compounds, it is wellknown that the carbon–oxygen double bond of carbonyl compounds acts as a 2π -system with various 4π -systems as a hetero-Diels–Alder reaction (type a in Scheme 2). However, to the best of our knowledge, the present benzannulation is the first example of the Lewis acid-catalyzed reverse electron demand-type cycloaddition between a 4π -system and an enol derived from an aldehyde and ketone (type b in Scheme 2).¹¹

SCHEME 2. Reaction Type of the Diels-Alder Reaction between Diene Systems and Carbonyl Compounds



(B) Benzannulation Using Enynal Units and Enol Ethers. In addition to carbonyl compounds, enol ethers can be used for the present benzannulation reaction.^{12–14} The reaction of **1a** with β -methoxystyrene **11a** using AuBr₃ catalyst proceeded, and naphthyl ketone product **3a** was obtained in 42% yield. The chemical yield of **3a** was increased to 84% when the reaction

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⁽⁸⁾ Benzo[*c*]pyrylium salts are known to play a dien part in the Diels– Alder reaction with ethyl vinyl ether and azomethines. See: Kuznetsov, E.; Shcherbakova, I. V.; Balaban, A. T. *Adv. Heterocycl. Chem.* **1990**, *50*, 157.

⁽⁹⁾ A protic catalyst, which is formed from AuBr₃ in water, might promote the enolization process. See: Dyker, G.; Hildebrandt, D.; Liu, J.; Merz, K. *Angew. Chem., Int. Ed.* **2003**, *42*, 4399.

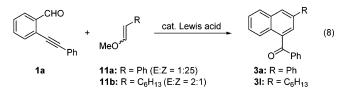
⁽¹⁰⁾ A theoretical study on keto-enol tautomerization was reported. See: Lee, D.; Kim, C. K.; Lee, B.-S.; Lee, I.; Lee, B. C. J. Comput. Chem. **1997**, *18*, 56 and references therein.

⁽¹¹⁾ For example, see: Fringuelli, F.; Taticchi, A. *The Diels–Alder Reaction-Selected Practical Methods*; Wiley: Chichester, 2002.

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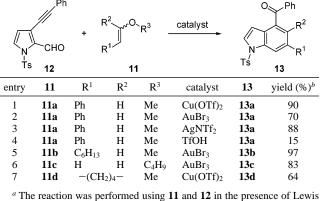
⁽¹³⁾ Pt-catalyzed benzannulation using *ortho*-alkynyl benzoates and vinyl ethers was reported. See: Kusama, H.; Funami, H.; Takaya, J.; Iwasawa, N. *Org. Lett.* **2004**, *6*, 605.

was carried out using $Cu(OTf)_2$ instead of AuBr₃. The $Cu(OTf)_2$ catalyzed reaction of **1a** with **11b** also proceeded to give **3l** in 56% yield (eq 8).



(C) Construction of Benzofused Heteroaromatic Compounds. We next turned our attention to the construction of benzofused heteroaromatic compounds by using the present methodology. Although many synthetic methods of indole and benzofuran skeletons are known,¹⁵ little attention has been paid to the approach based on the construction of a benzene ring onto a functionalized pyrrole and furan derivatives.¹⁶ During our research project, Barluenga et al. reported that the iodoniummediated benzannulation reaction using 3-alkynylpyrrole-2carboxaldehydes with alkenes (or the corresponding enamines or enol ethers) led to indole derivatives.17 Benzofuran and benzothiophene derivatives were also prepared in the same way. To develop the catalytic version, we examined the reaction of 12 with enol ether 11 in the presence of several kinds of catalysts, and results are summarized in Table 3. The reaction of 12 with β -methoxystyrene 11a in the presence of a catalytic amount of Cu(OTf)₂ gave 13a in 90% yield (entry 1). AuBr₃ and AgNTf₂ were also suitable catalysts (entries 2 and 3). Although the reaction proceeded even with a catalytic amount of TfOH, the chemical yield was low (entry 4). The reaction of 12 with other enol ethers, such as 1-methoxy-1-octene 11b, butoxyethene **11c**, and 1-methoxycyclohexene **11d**, proceeded to give the corresponding products 13b-d in good to high yields (entries 5-7).

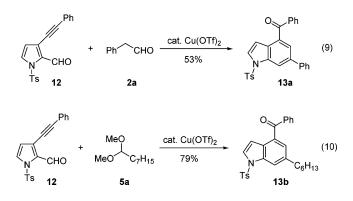




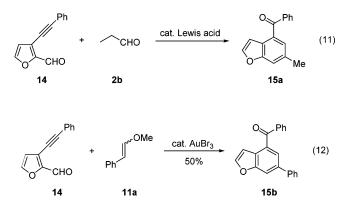
acid (10 mol %) in THF at 100 °C within 3 h. b Isolated yield.

Not only enol ether 11 can be used as a 2π -system for the indole synthesis; aldehyde 2 can also be used. For instance, the reaction of 12 with 2a proceeded smoothly in the presence of

a catalytic amount of $Cu(OTf)_2$ in THF to give **13a** in 53% yield (eq 9). The Cu-catalyzed reaction between **12** and acetal **5a** also gave benzannulation product **13b** in 79% yield (eq 10). As we mentioned earlier, the selective formation of decarbonylated naphthalene **4b** over **3b** was attained by using the Cu-(NTf₂)₂-H₂O system. However, attempts to prepare decarbonylated indole did not give any satisfactory results. For example, when the reaction of **12** with **11a** was examined using the Cu-(NTf₂)₂-H₂O system, **13a** was produced in 49% yield and the desired decarbonylated indole product was obtained in less than 20% yield.



We also examined the benzofuran synthesis using furan derivatives **14**. As we expected, the reaction of **14** with propionaldehyde **2b** proceeded in the presence of AuBr₃ or Cu-(OTf)₂ catalyst to give the corresponding product **15a** in 58 and 67% yields, respectively (eq 11). The AuBr₃-catalyzed reaction of **14** with β -methoxystyrene **11a** also gave **15b** in 50% yield (eq 12).



Conclusion

We are now in a position to synthesize functionalized aromatic compounds from enynals and carbonyl compounds in good to high yields. The reaction most probably proceeds through the reverse electron demand-type Diels-Alder reaction between the pyrylium intermediate and enol 2π -system, derived from carbonyl compounds. The scope of the reaction was extended to the synthesis of benzofused heteroaromatic compounds. Further studies to elucidate the precise mechanism of

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this reaction and to extend the scope of synthetic utility are in progress in our laboratory.

Experimental Section

Benzannulation between *o*-(Alkynyl)benzaldehydes (1) and Carbonyl Compounds (2). The preparation of 3c is representative. To a suspension of AuBr₃ (22 mg, 10 mol %) in 1,4-dioxane (2 mL) were added 1a (103 mg, 0.5 mmol) and 2c (0.084 mL, 0.6 mmol) at room temperature under Ar atmosphere. The resulting homogeneous solution was stirred at 100 °C for 3 h and then cooled to room temperature. The reaction mixture was passed through a silica gel pad using ether, and the resulting crude product was purified by column chromatography (silica gel, hexane/AcOEt = 25/1 as eluent); 3c was obtained as a pale yellow liquid (122 mg, 0.41 mmol) in 81% yield.

Benzannulation between 3-Phenylethynyl-1-(toluene-4-sulfonyl)-1*H*-pyrrole-2-carbaldehyde (12) and Enol Ethers (11). The preparation of 13a is representative. To a solution of $Cu(OTf)_2$ (9 mg, 10 mol %) and 12 (87 mg, 0.25 mmol) in THF (2 mL) was added 11a (0.05 mL, 0.38 mmol) at room temperature under Ar atmosphere. The resulting homogeneous solution was stirred at 100 °C for 1 h and then cooled to room temperature. The reaction mixture was passed through a silica gel pad using AcOEt, and the resulting crude product was purified by column chromatography (silica gel, hexane/AcOEt = 8/1 as eluent); **13a** was obtained as a white solid (101 mg, 0.23 mmol) in 90% yield.

Benzannulation between 3-Phenylethynyl-furan-2-carbaldehyde (14) and Carbonyl Compounds (2). The preparation of 15a is representative. To a solution of $Cu(OTf)_2$ (9 mg, 10 mol %) and 14 (49 mg, 0.25 mmol) in THF (2 mL) was added 2b (0.09 mL, 1.25 mmol) at room temperature under Ar atmosphere. The resulting homogeneous solution was stirred at 100 °C for 3 h and then cooled to room temperature. The reaction mixture was passed through a silica gel pad using AcOEt, and the resulting crude product was purified by column chromatography (silica gel, hexane/AcOEt = 20/1 as eluent); 15a was obtained as a yellow oil (40 mg, 0.17 mmol) in 67% yield.

Supporting Information Available: Spectroscopic and analytical data for **3a**-**n**, **13a**-**d**, and **15a**-**b** (PDF). This material is available free of charge via the Internet at http://pubs.acs.org. JO060597M